

# **Key EU initiatives: GAPP-PRO**

Ursula La Rocca Italian National Blood Center,

Rome, April 8<sup>th</sup> 2025

## **Toward a common approach for authorizing novel BTC**

- The development of new treatments derived from substances of human origin (SoHO) has rapidly evolved in recent years. Despite the great benefits for patients, significant difficulties have arisen in making them equitably and widely accessible.
- Innovative uses of SoHO and SoHO-derived products should demonstrate quality, safety, and clinical benefits to recipients before incorporating these products into healthcare practice.
- An assessment of the risks and clinical benefits in terms of efficacy (effects under ideal circumstances or clinical trials) or effectiveness (effects under "real-world" clinical settings) is needed before such innovation reaches the bedside.

Cuende et al. 2025





## **Toward a common approach for authorizing novel BTC**

EuroGTP I





2024/1938

REGULATION (EU) 2024/1938 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

of 13 June 2024

on standards of quality and safety for substances of human origin intended for human application

and repealing Directives 2002/98/EC and 2004/23/EC

**1. VISTART Joint Action** (2015 - 2018)

> "Principles for Competent Authorities for the evaluation and approval of clinical follow-up protocols for blood, tissues and cells prepared with newly developed and validated processing methodologies"



**GAPP-PRO Joint Action** (2024 - 2027)

Piloting GAPP model approach for assessing and authorizing novel substances of human origin preparation **PROcesses** 

An early access for patients to new Blood Tissues and Cells (BTC) products addressing unmet clinical needs, and/or providing potentially improved safety and efficacy, requires adapted regulatory tools and concepts using risk-based approaches to evaluate quality, safety, and effectiveness/efficacy of BTC products.



#### **SoHO oversight system:**

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the implementation of the new EU regulation with a focus on the obligations for competent authorities

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#### CHAPTER III SOHO SUPERVISORY ACTIVITIES

2024/1938 REGULATION (EU) 2024/1938 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL

of 13 June 2024

on standards of quality and safety for substances of human origin intended for human application and repealing Directives 2002/98/EC and 2004/23/EC

#### Article 18

#### SoHO preparation authorisation system

SoHO competent authorities shall establish and maintain a system for granting SoHO preparation authorisations to 1. SoHO entities located in their territory. Such a system shall include the reception and processing of applications and the approval of clinical-outcome monitoring plans to generate the evidence required for authorisation, where necessary, and shall allow for the suspension or withdrawal of authorisations.

SoHO competent authorities shall authorise SoHO preparations in accordance with Articles 19, 20 and 21 and, where applicable, Article 22.

The requirement of SoHO preparation authorisation shall be waived for SoHO that are intended to be distributed for 3. the manufacture of products regulated by other Union legislation, as referred to in Article 2(6).

SoHO preparation authorisations shall be valid throughout the Union for the period set out in the authorisation granted pursuant to Article 19(2), point (e), or until the SoHO competent authority has suspended or withdrawn the authorisation. Where a Member State has adopted a more stringent measure in accordance with Article 4, which relates to a specific SoHO preparation, that Member State may decline to recognise the validity of the SoHO preparation authorisation of another Member State until the SoHO entity authorised for that SoHO preparation has demonstrated to that Member State compliance with that more stringent measure.



#### **SoHO oversight system:**

# **GAPP Joint Action 2018-2021**

A large consortium of BTC Competent Authorities aiming at facilitating the development of a common and optimal approach to assess and authorize preparation processes in blood and tissues establishments

- 17 European Countries
  - 0 16 EU MS
  - O 1 non-EU MS
- 24 partners
  - O 1 coordinator
  - O 23 beneficiaries (+ 2 affiliated entities)

#### • 15 collaborating stakeholders

(NHSBT, SALAR, JPAC, Fundatia Renale, ESHRE, EBMT, ECDC, SOHO Consortium, ANSM, EFS, Hellenic National Blood Transfusion Centre, Croatian Institute for Transplantation and Biomedicine, Latvian State Agency of Medicine, EDQM, EHA)

#### **Risk-based approach**



Methodology and Interactive assessment tool, to provide recommendations and to improve the quality of healthcare delivery within the field of blood components







PREPARATION PROCESS FOR BLOOD, TISSUES AND CELLS

GOOD PRACTICE GUIDELINE TO AUTHORISATION ON PREPARATION PROCESSES IN BLOOD, TISSUES AND CELLS ESTABLISHMENTS



The guide developed provides useful tools for the evaluation of novelty, identification of risk factors, identification of risk consequences, quantification of risk by determining the probability of its occurrence, its severity and detectability, assessment of risk reduction, and definition of the extent of studies needed on the basis of the risks quantified.



#### SoHO oversight system:

# **GAPP methodology: preparation process dossier (PPD)**

Module 1: Applicant information			s 2 and 3: Novelty and isk assessment	Preparation of a dossier (PREPARATION PROCESS DOSSIER - PPD) for the process to be authorised, which includes 6 modules, aimed at evaluating the CHANGES that imply a NOVELTY (according to EuroGTPII) and the related
<ul> <li>BE/TE data.</li> <li>Data of the responsible person for the PPD.</li> </ul>		<ul><li>Novelty</li><li>Activity</li></ul>	tion of BTC. Questions. information. sessment.	
Module 4: Quality	Module 5: Preclinical studies		Module 6: Clinical information	
<ul><li>Updated SOPs.</li><li>Validation.</li></ul>	<ul> <li>In-vitre studie</li> <li>Perfor studie</li> <li>Bibliog</li> </ul>	med s.	<ul> <li>General clinical information.</li> <li>Clinical indication.</li> <li>CIP.</li> <li>CFUpP</li> </ul>	risks.



### SoHO oversight system:

# **GAPP methodology: application process**

Applicant information	BTCE information and data of person responsible for the dossier.	
BTC novelty	Information of the BTC where the novelty will be applied as well as the description of the novelty.	
Risk Assessment	Using EUROGTP II tool.	
Quality	Information of the new related SOPs, quality control procedure, validation, stability and evaluation.	
Preclinical studies	Information of non-clinical (in vitro or/and in vivo) studies.	
Clinical information	To support the implementation of the novelty.	



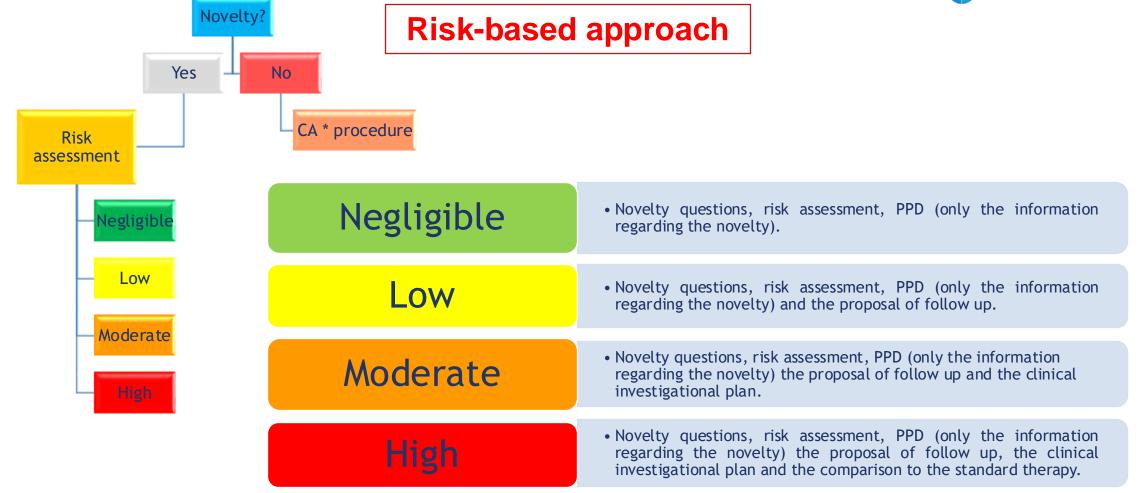
SoHO oversight system:

the implementation of the new EU regulation with a focus on the obligations for competent authorities

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## **Preparation Process Authorization (PPA)**



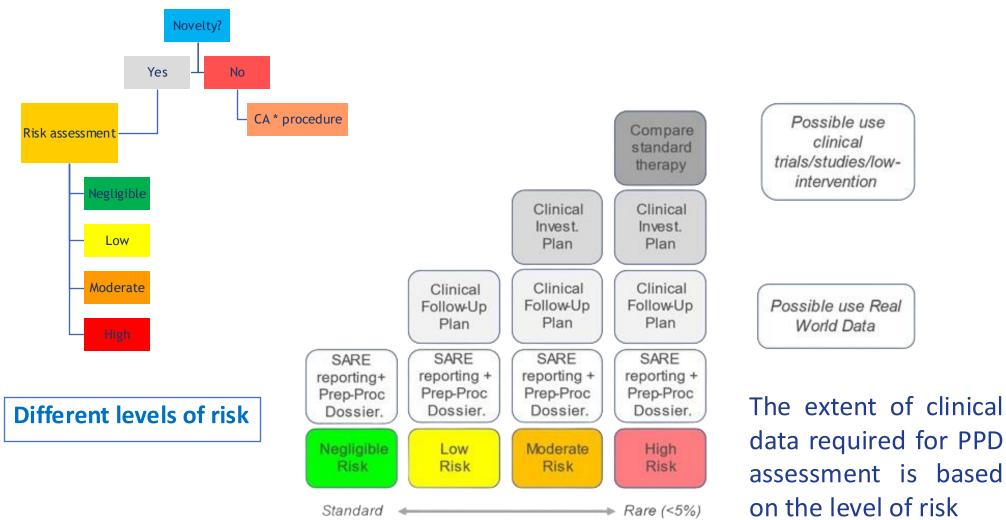




#### **SoHO oversight system:**

### **Evidence requested for level of risks**







#### **SoHO oversight system:**

# Risk/benefit balance

Degree of novelty and risk defined by available data on quality, safety and efficacy BTC defined by quality, safety and efficacy Insufficient data Limited set of data Complete set of data Benefit risk ratio quantified Benefit risk ratio not assessable / Benefit risk ratio estimated. Expected benefit justifies expected risk and acceptable Expected benefit does not justify risk / Quality and safety concerns Sufficient evidence to ensure **Conditional Authorisation** quality, safety and efficacy **Refusal of Authorisation** Full authorisation Further data sets required for final decision making Risk Negligible (N) Low (L) Moderate (M) High (H) Negligible Low Moderate High √ Quality X Quality ✓ Quality ✓ Quality X Quality X Quality **v** Quality X Quality √ Safety BTC ✓ Safety √ Safety X Safety √ Safety X Safety X Safety X Safety ✓ Efficacy X Efficacy X Efficacy ✓ Efficacy ✓ Efficacy X Efficacy ✓ Efficacy X Efficacy SARE Reporting (LMH) SARE Reporting (N) Follow CFupP (LMH) up CIP (MH) In such cases, where the expected Comparison Therapy (H) benefit justifies the expected risk and no alternative options are available, a conditional authorisation may be granted, defining the further data sets that are required for further assessment and for final decision-making (full

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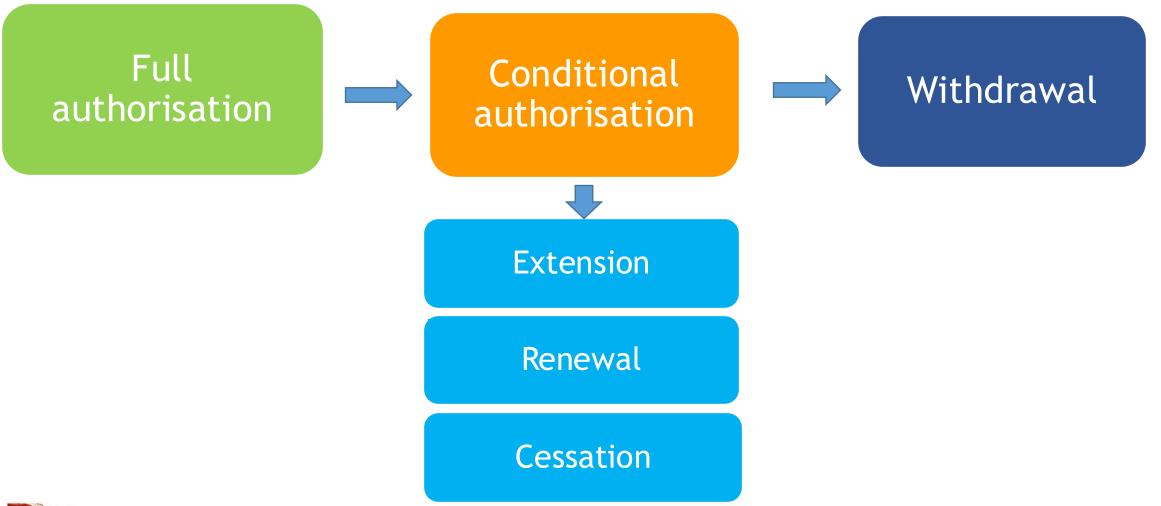


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authorisation or refusal).

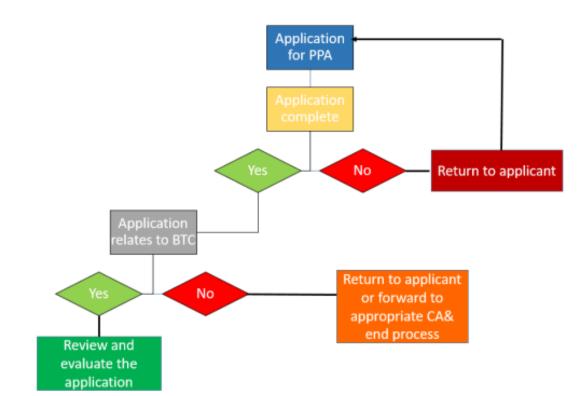
## **Preparation Process Authorization (PPA)**





**SoHO oversight system:** 

## An application whenever a new SoHO or a change indicating novelty



If the file is incomplete, the applicant is informed and asked to send the missing documents / information and the technical / regulatory evaluation does not proceed until the application is complete.

If during initial review of the PPD, the CA confirms that the application does not relate to a BTC which falls under the EUBTCDs, they will return the application to the applicant or forward to the appropriate CA, and the assessment process for the BTC CA will end.

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https://www.gapp-ja.eu/



#### **SoHO oversight system:**

# **GAPP-PRO** will pilot and roll-out approach by 2027

14 Main beneficiaries

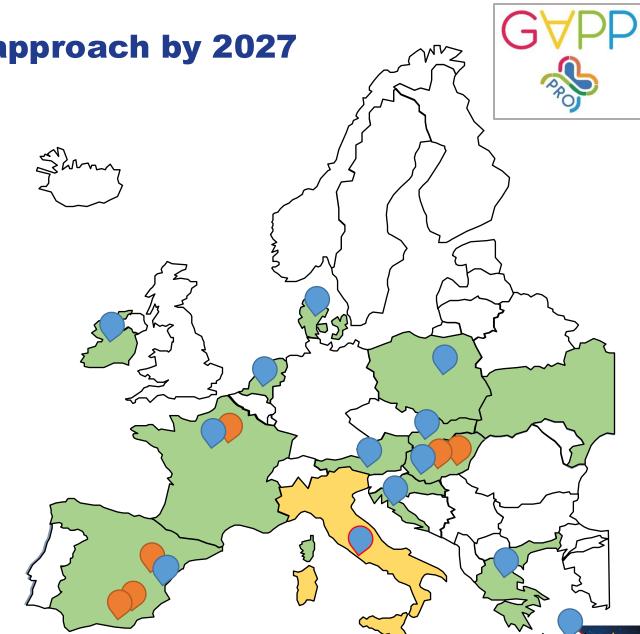
7 Affiliated entities

from 13 EU countries and 1 non-EU country

Project start date: 15/02/2024

Project duration: 40 months (14/06/2027)

- Map current status of authorised SoHO preparations and inherent risks
- Pilot GAPP methodology: test, assess and improve
- Test cross-entity/country applications and assessments
- Test cross-sector collaboration for SoHO preparations entailing medical devices
- Refine and update the methodology





#### SoHO oversight system:

# **GAPP-PRO:** main objectives



### GAPP-PRO aims at testing and perfecting GAPP JA (GA 785269) methodology



- piloting authorization processes for substances of human origin (eg: faecal microbiota, breast milk, platelet lysate eye drops), including bedside preparations;
- verifying the capability to implement the GAPP model in the different Member States (MS), with a common assessment of risk levels;
- testing the methodology in a perspective of multi-country assessment;
- testing the feasibility of joint assessments, including interactions with stakeholders from medical devices and pharmaceutical fields;
- Updating the EuroGTPII risk assessment tool extending the already available platform to other Substances of Human Origin (SoHO), namely faecal microbiota and breast milk.



### **SoHO oversight system:**

# **GAPP PRO WP** activities

WP4	Snapshot of SOHO preparation processes in Europe grouped by different risk level, including bed-side preparations	<ul> <li>The main goal of this WP is to gain clear insight into the current European authorization of SoHO preparation processes, including bed-side preparations, grouped by different risk level.</li> <li>In particular it will: <ul> <li>investigate the presence of ongoing evaluation of new SoHO preparation processes;</li> <li>investigate the presence of already authorised SoHO preparation processes in relation to identified risk level</li> </ul> </li> </ul>
WP5	Pilot-test of GAPP methodology on SoHO	<ul> <li>To perform the test to assess the GAPP methodology applicability on selected SoHO (including at least 2 autologous bedside preparations), from application to final assessment in order to:</li> <li>Test the evaluation of different levels of risk (negligible, low, medium, high);</li> <li>Detect strengths and weaknesses of GAPP methodology through the performance of a SWOT analysis.</li> </ul>
WP6	Pilot-test of GAPP methodology for cross country and joint country assessments	To organise and perform cross-country applications and joint-country assessments involving a group of Member States and experts (inspectors and assessors) in order to test and prove its feasibility and added value.
WP7	Analysis of pilot tests results	This WP will perform a thorough analysis of pilot outcomes, including interactions in the assessments and authorisation process with those of other regulatory frameworks, for example, where a new SOHO preparation process relies on the use of a new medical device.
WP8	Refine of GAPP Guideline	The aim of this WP is to refine/update the GAPP Guidelines on the basis of the pilot-tests results. Moreover, within this WP, the existing EUROGTP II platform will be extended to other SoHO (i.e. breast milk and faecal microbiota) so to provide European professionals with the opportunity to perform risk assessment also for other products.



### SoHO oversight system:

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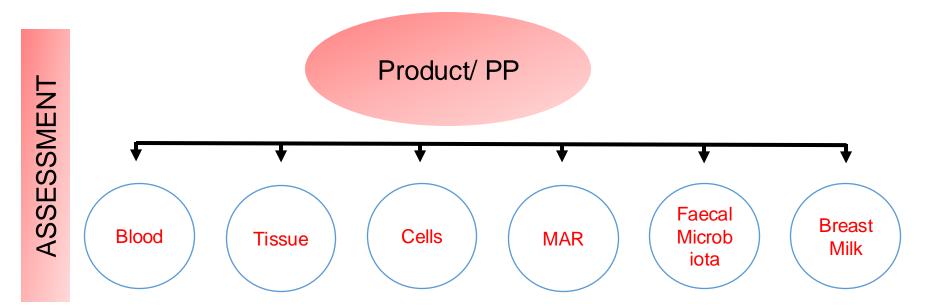
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# **GAPP-PRO: technical work packages**

# WP5 Assessment of the GAPP methodology applicability on selected SoHO, from application to final assessment.

The WP5 objective is to assess the GAPP methodology for different risk levels. The desired aim is the improvement of the method that will be standardized for all EU members:

- Test the evaluation of different levels of risk (negligible, low, medium, high);
- Detect strengths and weaknesses of GAPP methodology through the performance of a SWOT analysis.





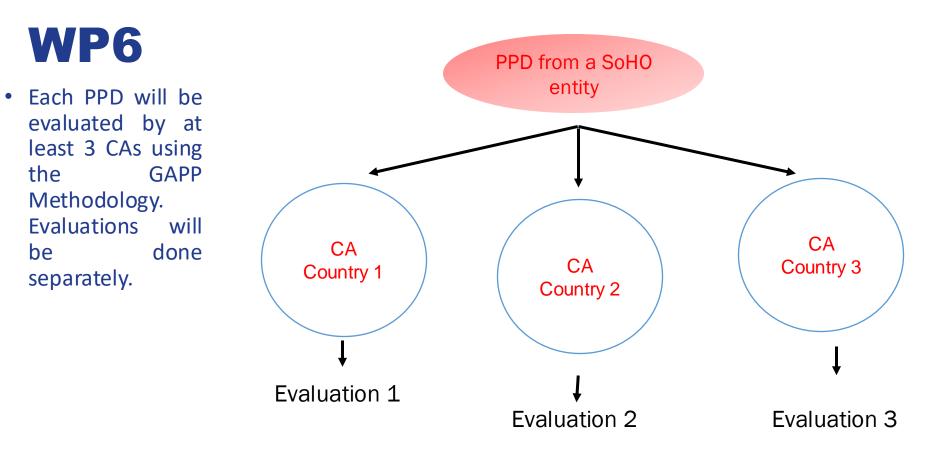
#### **SoHO oversight system:**

# **GAPP-PRO: technical work packages**



# **GAPP-PRO: technical work packages**

The WP6 goal is to test that methodology and to see if with a standardized methodology, the assessment's result is similar, regardless of the country.





#### SoHO oversight system:

## **Expectations from GAPP-PRO**

### CAs

- Member States know how to manage SoHO preparation authorisations
  - Awareness building, preparation, training
  - Organisation of national pathway for SPA
  - Leverage cross-country collaboration, bringing all MS to high/similar level of SPA
  - Trust building with other sector authorities (in particular medical devices)
- Link to SoHO digital platform
  - Compendium (application/authorisation module)
  - EuroGTP-II tool

### BEs

 Systematic Benefit/Risk Assessment to determine the evidence available on safety, quality and effectiveness

Taking into account any relevant EDQM monograph

 Submission of an application, including laboratory validation and other safety, quality and effectiveness data and, where relevant, a clinical outcome monitoring plan proportionate to risk





#### **SoHO oversight system:**

